

Operational Guidelines dated 18.01.2019

[for setting up of Integrated Cold Chain Projects against the Expression of Interest (EoI) to be published]

for

***“Scheme for Integrated Cold Chain and Value Addition Infrastructure
under PRADHAN MANTRI KISAN SAMPADA YOJANA”***



Government of India

MINISTRY OF FOOD PROCESSING INDUSTRIES

Panchsheel Bhawan, August Kranti Marg, New Delhi- 110049

REVISED OPERATIONAL GUIDELINES OF THE SCHEME FOR INTEGRATED COLD CHAIN AND VALUE ADDITION INFRASTRUCTURE (dated 18.01.2019)

1. Background

The Scheme for Integrated Cold Chain, Value Addition and Preservation Infrastructure was launched during 2008 and the original guidelines were notified on 18.03.2010. Based on feedback and consultations with stakeholders, the scheme guidelines were modified on 20.11.2013, 08.08.2014, 29.08.2016 and subsequently on 08.03.2018 for the purpose of smooth implementation and to achieve the objective of the scheme. Based on further feedback and experience of implementation of the scheme, the guidelines are further revised with immediate effect. These revised guidelines will be applicable to Expression of Interest (EOI) issued by the Ministry for taking up new Integrated Cold Chain Projects prospectively.

2. Objective

The objective of the scheme is to provide integrated cold chain, preservation and value addition infrastructure facilities without any break, from the farm gate to the consumer in order to reduce post-harvest losses of horticulture and non-horticulture agri-produce. This will enable linking groups of producers to processors and market through a well-equipped supply chain and cold chain, thereby ensuring remunerative prices to farmers and year-round availability of food products to consumers.

3. Eligible organizations/entities

Integrated cold chain and value addition infrastructure projects can be set up by Partnership/ Proprietorship Firms, Companies, Corporations, Cooperatives, Self Help Groups (SHGs), Farmer Producer Organizations (FPOs), NGOs, Central/State PSUs, etc. with business interest in cold chain solutions and also by those who manage supply chain.

4. Components of the Scheme

The scheme allows flexibility in project planning with special emphasis on creation of cold chain infrastructure at farm level. The scheme will have the following project components:

- (a) **Farm Level Infrastructure**, which may include processing center, situated in the catchment area of the targeted produce. This shall have one or more of the facilities mentioned in sub-para 5.1 (a to j) below. In addition, it may also have one or more of the facilities mentioned in sub-para 5.1 (m to u) below.

For projects in the fruits & vegetables sector, farm level infrastructure shall include at least one of the facilities listed at sub-para 5.1 (a), (c) & (m).

- (b) **Distribution hub** - This shall have a modern multi-product, multi-temperature cold storage. It may also include one or more of the facilities mentioned in para 5 below depending upon the business plan of the project.

(c) **Refrigerated** vans/ refrigerated trucks/ insulated vans/mobile insulated tankers.

(d) **Irradiation facility.**

To avail financial assistance under this scheme, the applicant will have to set up Farm Level Infrastructure as mentioned at (a) above and any one or both of the components from (b) and (c) above.

Considering the functional nature of the facility, irradiation component will be treated as a standalone facility for the purpose of availing grant under the scheme.

5. Eligible facilities for calculation of grant

5.1 Assistance under the scheme can be availed for creation of the following facilities:

- (a) Integrated Pack-house (with mechanized sorting & grading line/ packing line/ waxing line/ staging cold rooms, etc.)
- (b) Ripening Chamber(s)
- (c) Cold Storage Unit(s) [Associated with value addition]
- (d) Controlled Atmosphere (CA) storage [Associated with value addition]
- (e) Frozen Storage/Deep freezers [Associated with value addition]
- (f) IQF line, Tunnel Freezer, Spiral Freezer, Blast Freezer, Plate Freezer
- (g) Vacuum Freeze Drying
- (h) Milk Chilling / Bulk Milk Cooling/ Milk Processing Unit (including packing) for which temperature control is necessary during some part of the processing
- (i) Poultry/Meat/Marine/Fishery Processing Unit
- (j) Packaging line for chilled /frozen/temperature controlled products
- (k) Food Irradiation unit
- (l) Refrigerated/ Insulated transport
- (m) Pre Cooling Unit(s)
- (n) Mobile pre-coolers
- (o) Retail refrigerated carts, temperature controlled solar powered retail carts (maximum 10 numbers to be assisted per project)
- (p) Reefer boats (maximum 10 numbers to be assisted per project)
- (q) Refrigerated Containers including multi-modal container units
- (r) Renewable/ alternate energy technologies (solar, bio-mass, wind etc.) for the project. [maximum permissible cost is ₹35 lakh, as per MIDH norms]
- (s) Accessories/support infrastructure/ utilities such as fixed racking system in Cold/CA storage, fork lifts, reach trucks, bins, insulated fish boxes, pallets, dock levellers, mezzanine flooring, ETP, boiler, CIP unit, Depodder, Peeler, Slicer/Dicer, Blancher, sorting/grading line, etc.
- (t) In-house product testing laboratory
- (u) Any other modern technology for temperature controlled storage, processing, value addition and preservation infrastructure as may be decided by Inter Ministerial Approval Committee (IMAC)
- (v) Toilets, Septic tank, drainage, etc.

Note: CA Storage should normally be located near production areas. Otherwise, detailed

justification to be given in the DPR.

- 5.2** Assistance for refrigerated/insulated transport can be availed either at Farm Level Infrastructure and / or Distribution Hub depending upon the business plan of the project.
- 5.3** Stand-alone facilities/ component, except irradiation facility will not be considered for assistance under this scheme.
- 5.4** Irradiation facility may also include cold storage, grading and sorting facilities, reefer vans etc. for storage and transportation of raw material and finished products for efficient utilization of the facility.
- 5.5** Both horticultural and non-horticultural produce are eligible for support under this scheme.

6. Ineligible items:

- 6.1** The following items will be considered as non-technical civil works and will be considered ineligible for calculation of grant for the project (The list is only indicative and not exhaustive): -

- (a)** Compound Wall
- (b)** Approach Road/Internal Roads
- (c)** Cost of Land and site development
- (d)** Administrative Office Building
- (e)** Canteen
- (f)** Labour Rest Room and quarters for workers
- (g)** Security/ Guard Room or enclosure
- (h)** Consultancy fee, taxes, etc.
- (i)** Other Non-technical civil works not related to cold chain or storage infrastructure

- 6.2** The following items will also not be considered eligible for calculating the grant for the project (The list is only indicative and not exhaustive): -

- (a)** Margin money, working capital and contingencies
- (b)** Fuel, consumables, spares and stores
- (c)** Transport vehicles other than the reefer trucks/vans/refrigerated carrier/insulated vans/milk tankers
- (d)** Pre-operative expenses
- (e)** Second hand/ old machines
- (f)** All types of service charges, carriage and freight charges, etc.
- (g)** Expenditure on painting of machinery
- (h)** AC ducting, furniture, computers and allied office items.
- (i)** Closed Circuit TV Camera and security system related equipment.
- (j)** Consultancy Fee, Taxes, etc. on plant and machinery.
- (k)** Stationery items
- (l)** Plant & machinery not directly related to cold chain infrastructure

- (m) Fire-fighting equipment, fly catchers, hand washer, laundry etc.
- (n) Reconditioned and refurbished plant & machinery.

The Inter-Ministerial Approval Committee (IMAC) will be the final authority to decide on the admissibility of the grant and the eligibility or otherwise of the items for this purpose.

7. Pattern of assistance: - The scheme will have two types of pattern of financial assistance: -

- (a) For storage infrastructure including Pack House and Pre cooling unit, ripening chamber and transport infrastructure, grant-in-aid @ 35% for General Areas and @ 50% for North East States, Himalayan States, Islands & ITDP Areas, of the total cost of plant & machinery and technical civil works will be provided.
- (b) For value addition and processing infrastructure including frozen storage/ deep freezers associated and integral to the processing, grant-in-aid @ 50% for General Areas and @ 75% for North East States, Himalayan States, Islands & ITDP Areas, will be provided.
- (c) For irradiation facilities grant-in-aid will be provided @ 50% for General Areas and @ 75% for North East States, Himalayan States, Islands & ITDP Areas.

- Note:**
- (i) Maximum grant-in-aid would be ₹10 crore per project.
 - (ii) The grant will be provided only in respect of technical civil works and eligible plant & machinery.
 - (iii) Cost norms of Mission for Integrated Development of Horticulture (MIDH) issued by Department of Agriculture, Co-operation and Farmers Welfare will be followed wherever available. For facilities not covered under MIDH guidelines, cost norms as determined by the Ministry will be followed.
 - (iv) The SC or ST promoter(s), as the case may be, holding majority stake in the implementing agency/ firm, will be treated as ST/ SC proposals and will be treated at par with NE States for the purpose of extending benefits under the scheme. For any change in the constitution/ composition of such proposals, prior permission of Ministry shall be required.

8. Basic Eligibility Criteria:

The proposals have to meet the following basic eligibility criteria under the scheme:

- (a) The net worth of the applicant should be at least 1.5 times of the grant applied for. The net worth will be calculated as follows: -
 - (i) **In respect of Pvt. Ltd./ Public Ltd. Companies:**

The net worth will be ascertained based on the paid-up share capital of the company and reserves created out of profits.

Revaluation reserves only in respect of land & building may be considered for ascertaining the net worth, which will be based on circle rates duly supported by circle rate notification and land ownership documents. Such revaluation reserves shall also be reflected in forward going balance sheet of the company.

Share application money would be considered towards calculation of net worth provided the same has been converted into Paid up Capital within stipulated time as per Companies Act but, in any case, it should be converted into paid up capital before submission of the proposal to the Ministry.

A latest provisional balance sheet duly certified by the Statutory Auditor of the Company needs to be submitted for ascertaining the net worth.

In case of Pvt. Ltd./ Public Ltd. Companies where a significant portion of its net worth stands invested in equity shares of unlisted companies or is shown as loans & advances to various parties with nil or negligible income/revenue, then following additional documents would be required to be submitted by the applicant to ascertain the net worth: -

- a. Details of Investments made in unlisted companies by the applicant company,
- b. Audited Financial Statements (AFS) for the previous year or latest provisional Balance Sheet of the companies wherein the investment has been made,
- c. Details of loans & advances along with reasons/justification for the same,
- d. In case it is observed that the equity contribution made by the promoter company has been utilised by the investee company in creating tangible assets/ Capital work in progress in projects which are under execution then such investments in shares would be considered at face value.
- e. However, in case it is observed that the investment by the promoter company is further being reinvested by the investee company in equity shares of unlisted companies or given away as long term/short term advance to individuals/related party/other companies and the investor company has nil/negligible income/ revenue, then no additional clarification shall be asked for and the net worth of such promoter company shall be considered after deducting such investments/loans & advances

(ii) In respect of Proprietorship/ Partnership firms / NGOs / Cooperatives/ SHGs etc.

The net worth of all entities other than companies will be ascertained on the basis of latest balance sheet of individual proprietor/partner(s) i.e. assets minus all liabilities, duly certified by the Chartered Accountant (CA). Following components would be considered towards net worth: -

Assets include cash, current value of investments, land and building (supported by valuation report at circle rate, circle rate notification and land ownership documents), Bonds, cash value of life insurance, current total balance of savings, current or fixed deposit accounts.

The following methodology shall be adopted for determining the net worth:

- a. Net-worth would be sum of the net-worth of the Individual partners and the partnership firm (avoiding duplication of the investment in the partner's capital account of the firm) & the investments of each individual partner towards the partnership firm.
- b. If the applicant is ongoing partnership/proprietorship firm and the applicant has submitted audited balance sheet of such partnership/proprietorship firm, net worth based on the audited balance sheet will be taken into consideration. However, if the net worth as per balance sheet is insufficient then the CA certified statement of Assets and Liabilities of the individual Partners/Proprietors will be taken into consideration provided assets are supported by relevant documents as per the guidelines.
- c. However, since in a partnership/proprietorship firm, liability of partners/proprietors is unlimited, therefore the applicant should submit CA certified "Nil Liability Statement" or Statement of "Assets and Liabilities" of the individual partners/proprietors and the net liabilities based on these statements will be deducted while ascertaining the net worth.

(iii) The aforementioned net worth components need to be supported by relevant documents

(iv) Shares of unlisted companies will be considered at face value for net worth and shares of listed companies will be considered based on the average market price of the share for a period of 6 months prior to date of submission of proposal.

(v) Assets such as car, jewellery, antiques, gold etc. would not be considered for net worth.

(vi) Loans and advances given to group companies/related concerns/individuals will also not be considered towards computation of net worth.

(vii) **The applicant(s) will have to give a self-certification regarding the details of the encumbrance of the property submitted by them towards the net worth.**

(b) In-principle or final term loan sanction from the bank/financial institution. The date of sanction of term loan should not be earlier than the date of issue of EoI.

(c) Availing term loan from the Bank / Financial Institutions (FI) for an amount not less than

20% of the project cost, except in case of North East States. In case of North East States term loan amount shall not be less than 10%.

- (d)** A detailed appraisal note from the bank/financial institution specific to the proposal. Detailed Project Report /Techno Economic Viability Report merely stamped or endorsed by the Bank/ FI without detailed appraisal note shall not be considered as valid appraisal note and such proposals will not be considered for financial assistance.
- (e)** Infusion of equity of at least **20%** and **10%** of the total project cost respectively for projects in general areas and for projects in North East States, Himalayan States, Islands & ITDP Areas.
- (f)** Same applicant/ organization shall not be entitled for more than two grants from the Ministry under any of its schemes in five years.
- (g)** Applicants/ Promoters who have availed assistance under any other scheme of the Ministry and apply under this scheme, then in such cases the previous project (s) should have achieved completion and commenced commercial operation/ production and successfully completed at least one year before the date of advertisement of EoI of this scheme.
- (h)** No second proposal from the existing promoter(s), who have availed grant-in-aid for any of the cold chain projects from the Ministry of Food Processing Industries, will be entertained.
- (i)** Expansion /upgradation of the existing facility(ies) in the same premises will not be entertained.
- (j)** The grant will be restricted only to the plant & machinery and technical civil work created after the date of issue of Expression of Interest [EoI].
- (k)** The applicant will have to set up the component of Farm Level Infrastructure and any one or both of the components from (b) and (c) in para 4 above. Location of the Farm Level Infrastructure should be clearly indicated in the DPR as well as bank appraisal note.
- (l)** Considering the functional nature of the facility, irradiation facility will be treated as a standalone project for the purpose of availing grant.
- (m)** Date of commercial operation should not be prior to the date of issue of EoI.
- (n)** Not more than one application from the same applicant / company will be entertained. An application will be considered as second proposal if there are cross holdings of the promoter(s)/partner(s) between two applicants/entities. Shareholding pattern in case of companies, partnership deed and details of partner's capital accounts in case of partnership firms shall be submitted. Further, the details of PAN numbers of promoter(s)/partner(s) for an applicant entity shall also be submitted.

- (o) All equipment/ plant & machinery of the project should be new. Reconditioned/refurbished equipment/ plant & machinery will not be eligible for grant.
- (p) In case of dairy proposals whether process is automatic or not should be clearly mentioned in the proposal. In case of automatic plant, details of automatic components envisaged should be given in DPR.
- (q) The processing components and capacity of reefer/insulated vans mentioned in the approval letter will not be allowed to be dropped at subsequent stage.

9. DOCUMENTS AND FEE REQUIRED:

9.1 Following documents are required to be uploaded on-line, duly signed by the lead promoter/ authorised signatory [When original documents are in regional language they should be accompanied by self-certified English/ Hindi translation]: -

- (a) Detailed Project Report (DPR) in the prescribed template as at **Appendix-I**.
- (b) CA/Statutory Auditor certificate in support of net-worth of the proposed project along with documentary proof.
- (c) Net-worth supporting documents as detailed in **para 8 (a)**
- (d) CA/Statutory Auditor certificate as per **Appendix-II** for the proposed project cost and means of finance.
- (e) The proposed component wise cost breakup of technical and other civil work by the Chartered Engineer (Civil) as per **Appendix-III**.
- (f) The proposed component wise cost breakup of P&M by the Chartered Engineer (Mechanical) as per **Appendix-IV**.
- (g) In-principle or Final term loan sanction from the Bank/ Financial Institution for availing term loan for an amount not less than 20% of the project cost, except in case of North East States. In case of North East States term loan amount shall not be less than 10%.
- (h) A detailed appraisal note, specific to the scheme, from the lending Bank / Financial Institution.
- (i) Certificate of incorporation/ registration of the applicant firm, Memorandum and Articles of Association in case of Company/ Bye laws of the Society, Co-operative, Self Help Group/ Registered partnership deed, etc.
- (j) Bio-data/background/ experience of the project promoter(s) in the field of food processing/ cold chain.

- (k) Annual reports and Audited Financial Statement of Accounts of the applicant firm/company/cooperative/ Partnership/ Self Help Group, etc. for last two years.
- (l) Self-attested English/ Hindi version of main facility land documents in support of land title in the name of the applicant or land lease, duly registered with the competent authority for not less than the period of 15 years.
- (m) Change in Land Use (CLU) permission for the project for the main facility land from the competent authority.
- (n) Certificate from lending Bank/ FI that the facility has not commenced commercial operations as on the date of issue of EOI.
- (o) An undertaking to be furnished by the applicant as per **Appendix-V**.
- (p) Proof of submission of requisite fee.
- (q) Quotations from the suppliers of Plant & Machinery and equipment, etc. for the project.
- (r) In case of irradiation facilities, the following documents are required to be furnished: -
 - (i) Letter from Bhabha Atomic Research Centre (BARC)/ Board of Radiation Isotope Technology (BRIT) that the technology is approved for intended process/products.
 - (ii) Technical agreement with BARC/ BRIT for installation & operationalization of plant.
- (s) Mandate form as per **Annexure-II** in respect of the applicant firm.
- (t) Self-attested copy of a PAN card of applicant firm.
- (u) **PFMS (CGA) Registration** – Controller General of Accounts (CGA) registration on pfms.nic.in of firm under agency type “private sector companies” and under the scheme name “Pradhan Mantri Kisan Sampada Yojana – Integrated Cold Chain and Value Addition Infrastructure (3608)”.
- (v) Certificate of SC/ST from the concerned Govt. Authority, if applicable.
- (w) In case of dairy proposals whether process is automatic or not and the details of automatic components envisaged, in the letter head of the firm.

9.2 Fee

The applicants are required to submit Demand Draft of ₹1,00,000 (Rupees One Lakh only) as refundable security deposit and ₹10,000 (Rupees Ten Thousand only) as non-

refundable cost of EOI document in favour of “Pay and Accounts Officer, Ministry of Food Processing Industries, New Delhi”.

10. Expression of Interest (EOI):

Applications under the scheme will be invited through EOI. Ministry of Food Processing Industries has introduced a system for online filing of applications on its website www.mofpi.nic.in. The homepage of the website provides a link “Apply Online and Track Status here”. After filing online application, applicant should take print out of the online application form and submit the same along with requisite fees.

11. Selection of Proposals for Financial Assistance:

11.1 All proposals received against EOI will be scrutinized by the Technical Committee (TC) to ascertain prima-facie eligibility and further appraisal/ evaluation of proposals found prima facie eligible. The recommendation(s) of the TC on each proposal (eligible or otherwise) will be placed before Inter-Ministerial Approval Committee (IMAC) for approval.

- (a)** The proposals found prima facie eligible based on the basic eligibility criteria mentioned in para 8 above will be evaluated as per the assessment criteria at **Appendix-VI** of these guidelines.
- (b)** A proposal would have to obtain a minimum of 60 marks in order to become eligible for consideration of grant-in-aid.
- (c)** Subject to meeting the basic eligibility criteria, SC/ ST beneficiaries and the proposals from North East States will be given preference in sanction of the projects under the Scheme to the extent of earmarked fund allocation for each category.
- (d)** In case of eligible proposals having equal marks preference will be given to the proposal envisaging higher project cost.

11.2 Technical Committee (TC):

The TC will have the following composition: -

- (a)** Additional Secretary/ Joint Secretary, MOFPI, In-charge of the Cold Chain Scheme – Chairperson.
- (b)** Managing Director, National Horticulture Board (NHB) or his nominee- Member
- (c)** Economic Advisor, MoFPI-Member.
- (d)** Joint Secretary, Department of Animal Husbandry, Dairying and Fisheries or his Nominee-Member.
- (e)** Joint Secretary/Director (Finance), MoFPI-Member.
- (f)** Chairman, Agricultural & Processed Food Products Export Development Authority (APEDA) or his Nominee-Member.
- (g)** Chairman, Marine Products Exports Development Authority (MPEDA) or his Nominee-Member.

- (h) Representative from BARC/BRIT for irradiation projects - Member.
- (i) State Representative from the concerned State Food Processing Mission Directorate (NMFP)-Member.
- (j) Two technical experts [(a)-Domain Expert and (b) Finance Expert] to be nominated by Secretary, FPI- Members.
- (k) Deputy Secretary/ Director (Cold Chain), MoFPI-Member Secretary.

11.3 Inter-Ministerial Approval Committee (IMAC):

The IMAC will have following composition: -

- (a) Hon'ble Minister for Food Processing industries- Chairperson.
- (b) Secretary, MoFPI-Member.
- (c) Financial Adviser, MoFPI-Member.
- (d) Joint Secretary, In-charge Cold Chain Scheme, MoFPI– Convener & Member.
- (e) Principal Secretary, Industries/FPI of the concerned State-Member.
- (f) Joint Secretary, In-charge, MIDH, Ministry of Agriculture, Cooperation & Farmers Welfare-Member.
- (g) Representative of NABARD as Financial Expert-Member.
- (h) Joint Secretary, Department of Animal Husbandry, Dairying and Fisheries - Member.

12. Pattern of release of grant:

The grant-in-aid will be released in three installments of 25%, 40% and 35% as per following schedule: -

- (a) **1st installment of 25%** of the approved grant under the scheme will be released after ensuring that 25% of the promoter's contribution & 25% of the term loan have been spent on the eligible project cost and after site inspection conducted to ascertain the commensurate physical progress of the project. The promoter shall upload the documents for release of 1st Installment within 8 months (10 months in case of North East States, Himalayan States, ITDP Areas and Islands) from the date of issue of the approval letter.
- (b) **2nd installment of 40%** of the approved grant under the scheme will be released after ensuring the (i) utilization of first installment of grant released, (ii) 65% of promoter's contribution & 65% of term loan have been spent on the eligible project cost and (iii) after conduct of site inspection to ascertain commensurate physical progress of the project. An amount of 65% of the eligible cost of plant & machinery of main facility [farm level infrastructure, distribution hub and/or processing facility] and 25% of the eligible cost of plant & machinery of other facility should be spent. The promoter shall upload the documents for release of 2nd Installment within 14 months (18 months in case of North East States, Himalayan States, ITDP Areas and Islands) from the date of issue of the approval letter.

- (c) **3rd and final installment of remaining 35%** of the approved grant under the scheme will be released after ensuring the (i) utilization of the second installment of grant released, (ii) 100% of promoter's contribution & 100% of term loan has been invested in the eligible project cost, (iii) the project has achieved completion and commercial operation has started and (iv) after joint inspection by a team consisting of the representatives of MoFPI, NHB or APEDA or MPEDA or BARC/BRIT or DADF, the Bank (which has sanctioned the Term Loan) & PMA to ascertain the completion of the project and start of commercial operation /production. The promoter shall upload the documents for release of 3rd Installment within 20 months (24 months in case of North East States, Himalayan States, ITDP Areas and Islands) from the date of issue of the approval letter.
- (d) The documents to be uploaded on <http://sampada-mofpi.gov.in> by the promoter for the release of 1st, 2nd and 3rd installment of the grant are at **Appendix-VII**.
- (e) As an incentive to those projects where criteria for release of 2nd and 3rd installments have been fulfilled by the promoter, both 2nd and 3rd installments can be released together as one installment. In such cases, the promoter shall upload all the required documents along with utilization certificate for the 1st installment- in a single application - for release of balance 75% of the approved grant. All the formalities and due diligence as stated above for release of 2nd and 3rd installments shall be carried out before releasing the grant.
- (f) All the transactions out of the grant-in-aid must be uploaded on EAT module of PFMS. The utilization of grant-in-aid will be monitored by the Ministry through EAT module of PFMS.

13. Implementation Schedule and Penalty:

- 13.1** The implementation schedule for the project would be 20 months in general areas and 24 months in North East States, Himalayan States, ITDP Areas and Islands from the date of issue of the approval letter for the financial assistance. No extension of time for implementation of the project will be given. In exceptional circumstances, for the reasons beyond the control of the promoter(s), the implementation period may be extended with the approval of the Inter Ministerial Approval Committee (IMAC).
- 13.2** In case of non-implementation of the project as per the approval letter, the Ministry would have the discretion to cancel the approval granted to the project and to recall the grant, if any, released, with interest @ 10% per annum.
- 13.3** In case of failure to operate the project for at least three years after commencement of commercial operation, the promoter shall return the entire grant-in-aid with interest @ 10% per annum.
- 13.4** In case of the failure of the promoter(s) to refund the grant-in-aid amount with interest, in the event of non-implementation of the project as approved and / or utilization of the

grant for purposes other than on the approved components, the due amount shall be recovered as an arrear of land revenue as per the relevant law in force.

- 13.5** In case of any dispute arising out of interpretation of any of the terms and conditions as contained in the Scheme Guidelines and/or Approval Letter, the interpretation and decision there on of Ministry of Food Processing Industries shall be final and binding.
- 13.6** The Ministry may seek any clarification and/ or any document/ information at any stage of the project. The Ministry reserves the right to modify the Scheme Guidelines or any other terms and conditions as contained herein.
- 13.7** If at any point of time, it comes to the notice of the Ministry that the grant has been availed by manipulation/ concealment of information/ facts, the same shall be withdrawn immediately and the amount, if any, released shall be refunded along with interest at the rate of 10% per annum.
- 13.8** The assets created wholly or substantially out of the Government Grant shall not be disposed-off or encumbered or utilized for purposes other than those for which the grant has been sanctioned, without obtaining the prior approval of the Ministry. In case of non-compliance of this condition, the promoter(s) will be liable to refund the grant with interest @ 10% per annum. In case of the failure of the promoter(s) to refund the grant-in-aid amount with interest, the due amount shall be recovered as an arrear of land revenue as per the relevant law in force.
- 13.9** User charges/hiring rates of the facilities created under the project will be placed in the public domain by uploading the same on the website of the project/organization. A copy of the same will also be made available to the Ministry.
- 13.10** The promoter(s) of the completed projects will submit the following documents every year to the Ministry for next five years from the date of release of 3rd and final installment of the approved grant: -
- (a)** Audited Annual Financial Statement of the entity showing balance sheet, profit & loss account, schedule and notes to accounts of the cold chain project.
 - (b)** Percentage capacity utilization of the cold storage/ cold chain/ processing facilities.
- 13.11** The promoter shall make all possible efforts to complete the project as per the stipulated timelines mentioned in the approval letter. In case of non-adherence to stipulated timelines, except in case of *force de majeure* or reasons beyond the control of promoter, the IMAC may consider imposing penalty in terms of reducing the grant amount, on case to case basis as per following:
- (a)** Project is liable to be cancelled in case of non-adherence to the timelines of 30 days, 90 days and 1st instalment of grant due to the project unless extended by the IMAC.
 - (b)** The timeline for each installment as provided in para 12 (a, b & c) of the scheme guidelines and /or as approved by IMAC at the time of according approval shall be

applicable for this purpose. Only '*force de majeure*' reason will be accepted as genuine reasons for delay in cases where promoter fails to adhere to the timeline and request the Ministry for relaxation of penal action.

- (c) In case of non-adherence to the timeline of a particular installment due to the project, a penalty amounting to 1% of the quantum of installment due for release will be imposed for each month's delay beyond the stipulated timeline. The maximum amount of penalty, however, shall not exceed 5% of the installment to be released.
- (d) The penalty will be imposed for such delay till the date of submission of the complete documents to the Ministry for release of installment of the grant.
- (e) The decision of the IMAC shall be final and binding on imposition of the penalty, quantum of the penalty and the period for which the penalty is to be imposed.
- (f) In case of any dispute arising out of interpretation of any of the terms and conditions as contained in the Scheme Guidelines and/or Approval Letter, the interpretation and decision thereon of the Ministry of Food Processing Industries shall be final and binding.

14. The Ministry shall have the final say regarding eligibility or otherwise of the proposals and selection of proposals for grant-in-aid.

15. Programme Management Agency (PMA): The Ministry will implement the scheme with the assistance of Programme Management Agency (PMA). The role and responsibilities of the PMA are defined at **Appendix-VIII**.

16. Ministry's decision final: The decision of the Ministry in all matters relating to eligibility, acceptance or rejection of the applications, mode of selection, grant approved and imposition of penalty will be final and binding on the applicants.

17. Court's Jurisdiction: Any dispute arising out of selection of proposals and implementation of approved project under this scheme guideline will be subject to Courts/ Tribunals having jurisdiction over Delhi.

[Refer Para 9.1 (a)]

Template of DPR

1. Name of the applicant/ company / firm with details of registration no. of company / firm along with names of the directors/promoters in the prescribed format:

S No.	Particulars	Details
i.	Name of Applicant	
ii.	Legal Status of Applicant (Govt. Institution / organisation, NGO, Co-operative/ Company/ partnership firm/ proprietorship, Farmer Producer Company, Self Help Group, etc.)	
iii.	Registration No. of Applicant/CIN	
iv.	PAN of Applicant	
v.	Whether located in North East States, Himalayan States, Islands & ITDP Areas	
vi.	Whether lead promoter belong to SC/ ST/ Women	

2. Contact details of the Promoter(s)/Partner(s) including addresses, telephone, mobile, fax, e-mail, website, PAN etc.

S No.	Name of Promoter(s)/Partner(s)	Address	Telephone No.	Mobile No.	E-mail Id	PAN No.	Any other details
Please add additional rows, if needed.							

3. Experience of the lead Promoter(s)/Partner(s)/ Applicant Entity in Food Processing, Cold Chain (Pl. enclose documentary evidence regarding experience and turnover)

S No.	Name of lead Promoter(s)/Partner(s)/ Applicant Entity	Details of Experience	Details of Turnover (year-wise)	Supporting Document attached, if any (Yes/No)

4. Project Details:

- a. Components under the scheme as applicable to the project:

S No.	Components	Numbers Proposed
i.	Distribution Hub	
ii.	Farm Level Infrastructure	
iii.	Reefer/ Insulated Vehicles	

iv.	Irradiation Facility	
v.	Processing Facility, if any, proposed to be setup	

b. Sector of Project (Fruits & Vegetables/Dairy/Marine/Poultry/Fishery/Ready to Eat/Ready to Cook/ Mixed etc.):

c. Type of Operating Model (Rental, Rental+ Captive, Captive Use etc.)
.....

5. Land Details.

(i) Proposed Locations of Land for all project facilities and status of their acquisition along with longitude & latitude coordinates

Location of Land	Facility (Distribution Hub/ Farm Level Infrastructure)	Area (Sqm)	Status of possession (Owned/ leased**) (Document Submitted Yes/No)	In case of lease (Period of lease in years **) (Documents Submitted Yes/No)	Status of Land Use Conversion (CLU) (Document Submitted Yes/No)	Connectivity Details. Distance (in kms) from: a. National Highway b. State Highway c. Freight corridor d. Golden Quadrilateral	Distance from Catchment Area (kms)	Coordinate Details (Longitude & Latitude)	Ref Pg no. in DPR*
Land 1	Distribution hub								
Land 2	Farm Level Infrastructure (FLI)						FLI should be in the catchment area.		
Please add additional rows in case of multiple locations									

*DPR should have a detailed chapter on proposed land, raw material production and supply statics for the catchment area.

** In case of leased land, period of lease should be not less than 15 years.

6. Proposed facilities

S. No.	Type of facilities proposed to be created	No. of Units	Total Capacity [MT, Ltrs, MT/Hr., where ever applicable]	Location		No. of Days of operation of each facility in a year
				Distribution Hub	FLI	
i.	CA Store					

ii.	Normal cold store				
iii.	Frozen store				
iv.	Pre-cooling Chambers				
v.	Sorting, Grading, Waxing, Weighing, Packing facility [Modify as per actual]				
vi.	Ripening Chambers				
vii.	IQF				
viii.	Blast Freezing				
ix.	Milk Processing Facilities				
x.	Milk Chilling Centres				
xi.	Bulk Milk Coolers (BMCs)				
xii.	Refrigerated Vehicles/ Reefer vans				
xiii.	Mobile Pre-coolers				
xiv.	Insulated Milk Tankers/ vans				
xv.	Insulated Distribution Vehicle				
xvi.	Irradiation Facility				
xvii.	Refrigerated Container				
xviii.	Refrigerated Carts				
xix.	Solar Powered Carts				
xx.	Refrigerated Cabinets				
Add other components not listed above					

7. In case of dairy proposals, details of automatic facilities envisaged.

8. Proposed Project Financials

a. Estimated Project cost details

Item	Amount (₹ in lakh)
Site Development	
Technical Civil Work (TCW)*	
Other Civil Works	
Plant & Machinery (P&M)**	
Common Utilities like Water/ETP/ STP, etc.***	
Pre-operative Expenses	

Interest During Construction	
Margin Money for Working Capital	
Contingencies	
Add other items not listed above	
Total Project Cost	

* The component wise cost breakup of technical and other civil work should be provided in the Chartered Engineer (Civil) certificate in the prescribed format as enclosed as Appendix-III

** The component wise cost breakup of P&M should be provided in the Chartered Engineer (Mechanical) certificate in the prescribed format as enclosed as Appendix-IV

***The cost of common utilities may be provided in Chartered Engineer (civil) and Chartered Engineer (Mechanical) certificate where ever applicable.

b. Means of finance

Item	Amount (₹ in lakh)
Promoter's Equity	
Term loan	
Eligible grant	
Unsecured loan	
Total	

c. Basic Revenue Projections

Item	Year 1	Year 2	Year 3	Year 4	Year 5
Turnover					
Cost of Operations					
Gross Profit					
Earnings Before Interest, Tax, Depreciation and Amortization (EBITDA)					
Profit before					

taxation					
Profit after taxation					

d. Financial Parameters (as per Bank Appraisal Note)

S No.	Particulars	Details (Ratio/%)	Ref Page No. in DPR*
i.	Internal Rate of Return (IRR) [(a) With and (b) without grant]		
ii.	Avg. Debt Service Coverage Ratio (DSCR)		
iii.	Break Even Point (BEP)		
iv.	Debt-Equity Ratio		

*To be provided for section under the bank appraisal note highlighting the aforementioned detail.

9. Availability of Raw Material in the Catchment Area - provide details such as Adequate Volume, Wider Mix of Raw Materials, Days of Operation in a Year along with supporting data.

10. Details of the catchment area of the project.

S.No.	Location of the Catchment (Primary/secondary)	Name Village/Dist./APMC	Commodities to be sourced	Quantities to be sourced [MT/KLD- in case of milk] (per annum)	Ref. Pg. no. in DPR*

*DPR should comprise of detailed chapter on proposed catchment (production and supply statistics).

10. Employment Generation projections

- a. Direct Employment:
- b. Contractual Employment with no. of days:
- c. Indirect Employment (specify):

11. Details of renewable/ alternate energy sources including solar energy, if any, proposed to be used for operating the project including inter alia, details of power generation.

12. Details of adoption of modern technology for reducing the carbon footprints and increasing operational efficiency: -

Sl. No.	Name of technology/ item	Basic cost (excluding taxes etc.)	How the technology will help in reducing carbon footprint and/or increase in operational efficiency

13. List of Manufacturers/ Suppliers of P&M (enclose quotations)

Date: _____

Signature of the Applicant/Lead Promoter

Place: _____

**CA Certificate Format
(Letter Head of the CA)
[Refer Para 9.1 (d)]**

CA certificate (With membership No. and firm registration No. of CA) in the following format: -

Date:

i. Project Cost:

Sl. No.	Name of the Component/Item	Amount (₹ in lakh)
1.	Land/development charges	
2.	Civil works — Technical civil works — Other civil works	
3.	Plant & Machinery	
4.	Misc. Fixed Assets	
5.	Others	
	TOTAL	

ii. Means of Finance:

Sl. No.	Item	Amount (₹ in lakh)
1	Promoter's Equity	
2	Term Loan	
3	Grant from MFPI	
4	Unsecured loan*	
5	Others	

*Details of unsecured loans along with PAN No. of lenders, if any, duly certified by CA.

Signature and Seal of C.A (Statutory Auditor in case of company)

Counter signature of promoter/ authorized signatory of company with Seal

**CE Certificate (Civil) Format for Technical Civil Work:
(Letter Head of the CE)
[Refer Para 9.1 (e)]**

CE certificate (With membership/registration No. of CE) in the following format:

Date:

Name of Project:

Location with address:

Date of site Visit by Chartered Engineer:

Project Progress: (If project has multiple locations, the location wise details should be submitted in below format for each location)

Sl. No.	Name of Component	Proposed Area (sq.m)	Proposed Cost (₹ in lakh)	Rate/ Unit(₹/ Sqm)
	Total			

Signature and Seal of C.E.

Counter signature of promoter/ authorized signatory of company with Seal

**CE Certificate (Mechanical) Format for Plant & Machinery:
(Letter Head of the CE)
[Refer Para 9.1 (f)]**

CE Certificate (With membership/registration No. of CE) in the following format:-

Date:

Name of project:

Location with address:

Date of Visit by Chartered Engineer:

Project Progress (If project has multiple locations, the location wise details should be submitted in below format for each location)

Sl. No.	Name of Component	Proposed Quantity	Proposed Cost (₹ in lakh)		Supplier/ Manufacturer (Supported by quotations)
			Basic Cost	Taxes, Freight, installation, insurance	
	Component -1				
	Component -2				
	Component -3				
	TOTAL				

Signature and Seal of C.E.

Counter signature of promoter/ authorized signatory of company with Seal

UNDERTAKING
[Refer Para 9.1 (o)]

I (Name of the Lead Promoter/ Lead Partner/ Proprietor etc.) son of Mr..... (father's name) resident of (residential address) do hereby solemnly affirm and declare/undertake as under:

1. That I am promoter/ director/ partner/ proprietor of M/s..... (name of applicant) having its Registration no.and Registered Office at (office address of applicant).
2. I hereby make application and I am duly authorized in my own right/by management vide its resolution no.dated.....to apply and sign all required documents including this undertaking on behalf of company/partnership firm/cooperative society etc. named as; and am fully aware of the facts relating to the setting up of integrated cold chain project at Survey/ Plot No....., Village.....,Tehsil.....,District.....,State....., PIN code (location of the main facility) for.....(activities to be undertaken by project) and application is being made to the Ministry of Food Processing Industries (MoFPI) under the Central Sector Scheme for Integrated Cold Chain and Value Addition Infrastructure.
3. That the term and conditions of the above scheme of the MoFPI under which an application is made by the applicant have been properly read and understood by me and I affirm that the project/ proposal comply with all the terms and conditions of the approval letter and provisions enshrined in the scheme guidelines.
4. That the proposed activities to be undertaken by the project/proposal are covered under the above scheme of MoFPI and no part of the scheme/infrastructure of the project is designed or assigned to be used for any activity other than the activities specified in the application at present or in the near future.
5. It is certified that (name of applicant) has not obtained or applied for grants for the same project, component, purpose or activity from any other Ministry or Department of the Government of India or State Government or their agencies.
6. It is certified that applicant's sister concern (s)/ related company / group company/firms as well as the applicant itself has not availed any financial assistance for a food processing project in the past from MFPI [if availed, the details shall be furnished separately].
7. I also solemnly affirm/undertake that the proposed project components in the application are a completely new activity and not a pre-existing activity or any component thereof.
8. In case of concealment of any facts in this regard, the MoFPI would have right to reject/ cancel my application/project out right at any stage.

9. I will meet any shortfall in means of finance due to less admissibility of grant or any future reduction in grant-in-aid or any escalation caused in the cost of the project.
10. I shall not dispose-off or encumber or utilize the assets created wholly or substantially out of government grant for purpose other than those for which they have been sanctioned, without obtaining the prior approval of the sanctioning authority of grant-in-aid.
11. In case of non-implementation/ delayed implementation of the project the Ministry will have absolute right in cancelling the approval granted and also recall the grant released, if any, along with interest as per the scheme guidelines.
12. In case of failure to operate the project for at least three years after commencement of commercial operation, I shall return the entire grant-in-aid with interest @ 10% per annum.
13. User charges/hiring rates of the facilities created under the project will be disseminated to the public including uploading of the same on the website of the project/ organization. A copy of the same will also be made available to the Ministry.
14. I also undertake that all the information furnished in the application and the DPR with respect to the eligibility conditions, etc. are true and correct to the best of my knowledge and belief and nothing material has been concealed therefrom.

Date: _____
Place: _____

Signature of the Applicant/Lead Promoter

[Refer Para 11.1 (a)]

S. No.	Criteria for Evaluation of Integrated Cold Chain Proposals [A proposal would have to obtain a minimum of 60 marks in order to become eligible for consideration of grant-in-aid.]	Max Marks
1	Adequate Volume and Wider Mix of Raw Materials (Suitability of Location, Project Site and Status of Possession of Land)	20
	i. Availability of raw materials a. Raw material availability backed by latest data (not later than 3 years old) published by Central/ State Government: 5 marks b. Raw material availability data not published but duly authenticated by concerned block/district/ state authority: 3 marks c. If no raw material data has been submitted by the applicant: 0 mark Note: Source of raw material availability should be clearly mentioned in the DPR	5
	ii. Suitability of project location(s) for proposed operations and their connectivity through road, railways etc. If land is in close proximity to state/national highway/freight corridors/golden quadrilateral/ sea port marks may be awarded, as follows: a. Within 2 Kms: 5 marks b. 2 to 5 Kms: 3 marks c. More than 5 to 10 Kms: 2 marks d. Above 10 Kms : 0	5
	iii. Status of project land a. Main facility land in possession of the Applicant with approval for industrial use: 10 marks b. Main facility land in possession of the Applicant without approval for industrial use: 5 marks	10
2	Experience in Cold Chain/ Food Processing: a. Already in cold chain and food processing business having annual turnover of ₹5 crore and above during previous year:10 marks b. If in cold chain or food processing business having annual turnover of ₹5 crore and above during previous year:7 marks c. If in cold chain or food processing business having annual turnover of less than ₹5 crore during the previous year:4 marks	10
3	Economic Viability of the proposal	10

	<p>Economic Viability of Project Based on Bank Appraisal (Key financial parameters viz. IRR, DSCR, RoI) (The average of score for IRR and DSCR would be considered. IRR and DSCR will be taken from bank appraisal note. In case, IRR and/ or DSCR are not given in the bank appraisal note then the same will be taken from the DPR.)</p> <p><u>Max marks shall be given for IRR = 5 marks</u></p> <p>a. 5 marks shall be given if IRR >20% b. 3 marks shall be given if IRR is between 15%-20% c. 1 mark shall be given if IRR is between 10%-15% d. Nil marks shall be given if IRR is less than 10%</p> <p><u>Max marks shall be given for DSCR = 5 marks</u></p> <p>a. 5 marks shall be given if DSCR > 2.5 b. 3 marks shall be given if DSCR is between 2.0 and 2.5 c. 1 mark shall be given if DSCR is between 1.5 and 2.0 d. Nil marks shall be given if DSCR <1.5)</p>	
4	Backward Forward Linkages	10
	<p>a. 0.5 mark for each MoU/ Agreement for Backward Linkages– max.5 marks b. 0.5 mark MoU/Agreement for Forward Linkages – max. 5 marks</p>	
5	Proposed investment in Cold Chain Components	15
	a. Proposals creating farm level infrastructure, distribution hub and processing at different locations along with reefer/ insulated vans.	15
	b. Proposals creating farm level infrastructure and distribution hub but have combined the processing facility either at farm level infrastructure or distribution hub along with reefer/ insulated vans.	10
	c. Proposals creating farm level infrastructure with or without processing along with reefer/ insulated vans.	5
	d. Proposals for setting up irradiation unit.	10
6	Project proposing value addition/processing facilities such as IQF, vacuum freeze drying, spiral/tunnel/blast freezers, etc. for manufacturing of chilled/frozen value added products including value added fruits &vegetables/ meat/ fisheries/ RTE/ frozen products, etc.	5
7	Proposals with investment in reefer transport with capacity not less than 70 MT	5
8	Proposed Investment in Farm Level Infrastructure [Excluding Cost of Project Land]	10
	<p>a. Upto 30% of Project Cost: 5 marks b. More than 30% to 50%: 7 marks c. More than 50% : 10 marks</p>	
10	Leveraging of Investment in the Project	10
	For General Areas	
	a. Proposed equity investment excluding land < 2 times of grant sought: 5 marks	
	b. Proposed equity investment excluding land >= 2 times of grant sought: 10 marks	
	For Difficult Areas	
	a. Proposed equity investment excluding land < grant sought: 5 marks	
	b. Proposed equity investment excluding land >= grant sought: 10 marks	
12	Projects proposing to use renewable/ alternate energy source to power cold chain project more than 100 kW	5
	Total	100

Terms and conditions for release of grant-in-aid to the project

[Refer Para 12 (d)]

1. The promoter shall upload and submit the following documents **within 30 days** of receipt of the approval letter:

(a) Acceptance Letter as per **Annexure-I**.

(b) The promoter needs to create three separate bank account(s) consisting of two separate current accounts with respect to the promoter contribution and grant-in-aid, and a term loan account. Details of bank accounts to be provided as below: -

Sl. No.	Current Accounts	Account No.	IFS Code	Name and Branch of Bank
1	Promoter contribution			
2	Term Loan			
3	Grant-in-aid			

(c) Details of the bank account pertaining to grant-in-aid are to be provided in the ECS mandate form as provided in **Annexure-II**.

(d) Counter signed copy of Approval Letter.

(e) Undertaking [as per **Appendix-V** duly signed by Lead Promoter/ Authorised Signatory (as the case may be)].

2. The promoter shall upload the following documents **within 90 days from the date of issue of approval letter**:

(a) **The Self-attested English/Hindi version of main facility [farm level infrastructure and/or distribution hub] land documents**, in support of land title in the name of the applicant or land lease, duly registered with the competent authority for not less than the period of 15 years.

(b) **Change in land use (CLU) permission** for the main facility **[farm level infrastructure and/or distribution hub]** land from the competent authority, wherever applicable.

(c) Final term loan sanction letter from Bank/FI.

(d) Latitudes and Longitudes Co-ordinates of the main project facility (Farm Level Infrastructure/ Processing Centre/ Distribution Hub, as the case may be).

- (e) Revised means of finance, factoring in the approved grant-in-aid, duly certified by the Bank/Financial Institution. (In cases where approved grant-in-aid is less than the grant-in-aid sought as per Bank Appraisal/ DPR submitted with the proposal.)
- (f) Revised implementation schedule, if any, of the project, detailing the specific dates/year for the completion of each stage of the implementation of the project duly certified by the concerned lending bank and countersigned by the promoter(s).
- (g) The promoter is required to upload the claim for release of 1st, 2nd and 3rd instalment of grant-in-aid (as the case may be) online on the aforementioned portal as per the enclosed Check List, duly ink signed and stamped by the authorised signatory.
- (h) Valid Consent to Establish from the Central/ State Pollution Control Board.
- (i) Approved building plan from the relevant Competent Authority of the Main Facility and/or the Farm Level Infrastructure, as applicable.

3. Release of Grant-in-aid

- (a) The promoter shall upload the following documents for 1st Installment within 8 months (10 months in case of North East States, Himalayan States, ITDP Areas & Islands) from the date of issue of the approval letter: -
 - (i) **Surety Bond as per Annexure-III** – To be executed by the beneficiary/applicant on a Non-Judicial stamp paper of not less than Rs.100, duly notarized and signed by two independent witnesses (other than promoters) along with Board resolution/certificate from Board of Directors/promoters (as applicable) authorizing one of the directors/partners as authorized signatory of documents. [Original hard copy of surety bond to be submitted to the Ministry].
 - (ii) Certificate from CA certifying that the expenditure for plant & machinery and technical civil work in the project has been incurred after the date of issue of Expression of Interest [EoI].
 - (iii) **CA certificate-** Latest certificate of actual expenditure along with means of finance in the prescribed format duly certified by Chartered Accountant (CA) and countersigned by the promoter, which should establish that at least 25% of the promoter's contribution and at least 25% of the term loan has been utilized on the eligible project cost as per **Annexure-IV**. Details of unsecured loan (along with PAN numbers of lenders), if any, duly certified by Chartered Accountant and countersigned by the promoter are to be given as per **Annexure-IV**.

- (iv) **Certificate from Chartered Engineer (Civil)** for technical civil works indicating item wise progress on approved components, cost, quantity, manufacturers/suppliers and comment on quality and status of implementation duly countersigned by the promoter as per **Annexure-V**.
 - (v) **Certificate from Chartered Engineer (Mechanical)** for plant and machinery indicating item wise progress on approved components, cost, quantity, manufacturers/suppliers and comment on quality and status of implementation duly countersigned by the promoter as per **Annexure-VI**.
 - (vi) **Certificate** from the concerned bank/ financial institution which has sanctioned term loan for the project, as per **Annexure-VII**, certifying that:-
 - a. It has disbursed 25% of the term loan of the total sanctioned term loan; and
 - b. Has no objection for disbursement of 25% of the grant-in-aid being released by the Ministry.Bank Statement(s) –Certified bank statements of all accounts from where payments are received and made till date of expenditure of 25% of promoter’s contribution and 25% of term loan on the eligible project cost as per implementation schedule and highlighting payments (including advance payments) made to suppliers/vendors/contractors.
 - (vii) **Self-attested English/Hindi version for at least 65% of the number of collection centres/ farm-level infrastructure**, in support of land title in the name of the applicant or land lease, duly registered with the competent authority for not less than the period of 15 years. In case of facilities such as BMCs, chilling centres where TCW is not envisaged, the registered lease deed and CLU may not be required. However, copies of land documents / rent agreements for not less than the period of 15 years, as applicable, will be required.
 - (viii) **Change in land use (CLU)** permission for the 65% Collection Centres/ farm-level infrastructure land from the competent authority, wherever applicable.
 - (ix) **PFMS (CGA) Registration** – Registration of firm on pfms.nic.in under the scheme name “Pradhan Mantri Kisan Sampada Yojana – Integrated Cold Chain and Value Addition Infrastructure (3608)”.
 - (x) Any other condition which may be specified from time-to-time.
- (b) The promoter shall upload the following documents for 2nd Installment of grant within 14 months (18 months in case of North East States, Himalayan States, ITDP Areas and Islands) from the date of issue of approval letter: -

- (i) **CA certificate-** Latest certificate of actual expenditure on the project along with means of finance in the prescribed format duly certified by Chartered Accountant (CA) and countersigned by the promoter, which should ensure that at least 65% of the promoter's contribution and at least 65% of the term loan along with grant-in-aid released has been utilized on the eligible project cost as per **Annexure-IV**. An amount of 65% of the eligible cost of plant & machinery of main facility [farm level infrastructure, distribution hub and/or processing facility] and 25% of the eligible cost of plant & machinery of other facility should be spent.
Details of unsecured loan (along with PAN numbers of lenders), if any, duly certified by Chartered Accountant and countersigned by the promoter are to be given as per **Annexure-IV**.
- (ii) **Certificate from Chartered Engineer (Civil)** for technical civil works indicating item wise progress on approved components, cost, quantity, manufacturers/suppliers and comment on quality and status of implementation duly countersigned by the promoter as per **Annexure-V**.
- (iii) **Certificate from Chartered Engineer (Mechanical)** for plant and machinery indicating item wise progress, cost, quantity, manufacturers/suppliers and comment on quality and status of implementation duly countersigned by the promoter as per **Annexure-VI**.
- (iv) **Certificate** from the concerned **bank/ financial institution** which has sanctioned term loan for the project, as per **Annexure-VII**, certifying that: -
- a. It has disbursed 65% of the term loan of the total sanctioned term loan and has also released 1st installment of grant-in-aid; and
 - b. Has no objection for disbursement of 40% of the grant-in-aid being released by the Ministry.
- Bank Statement(s) –Certified bank statements of all accounts from where payments are received and made till date of expenditure of 65% of promoter's contribution and 65% of term loan on the eligible project cost as per implementation schedule and highlighting payments (including advance payments) made to suppliers/vendors/contractors.
- (v) **Utilization Certificate** of the 1st Installment of the grant as per **Annexure-VIII**– In the format provided in GFR 19-A duly certified by the promoter and counter signed by Chartered Accountant.
- (vi) Utilization/Expenditure of 1st installment of grant released in Excel sheet uploaded in EAT MIS Process.
- (vii) Screen shot of Utilization Certificate of 1st installment generated through EAT Module of PFMS.
- (viii) Valid Consent to Establish or Operate, as the case may be, from the Central/

State Pollution Control Board.

- (ix) **Self-attested English/Hindi version for remaining collection centres/ farm-level infrastructure**, in support of land title in the name of the applicant or land lease, duly registered with the competent authority in favour of the applicant for not less than the period of 15 years. In case of facilities such as BMCs, chilling centres where TCW is not envisaged, for the remaining BMCs, chilling centres the copies of land documents / rent agreements for not less than the period of 15 years, as applicable, will be required.
 - (x) **Change in land use (CLU)** permission for remaining Collection Centres/ farm-level infrastructure land from the competent authority, wherever applicable.
 - (xi) Any other condition which may be specified from time-to-time.
- (c) The promoter shall upload the following documents for 3rd and final Installment within 20 months (24 months in case of North East States, Himalayan States, ITDP Areas & Islands) from the date of issue of the approval letter: -
- (i) **CA certificate-** Latest certificate of actual expenditure on the project along with means of finance in the prescribed format duly certified by Chartered Accountant (CA) and countersigned by the promoter, which should establish that 100% of the promoter's contribution, 100% of the term loan and 2nd Installment of Grant-in-aid has been utilized in the project as per **Annexure-IV**.
Details of unsecured loan (along with PAN numbers of lenders), if any, duly certified by Chartered Accountant and countersigned by the promoter to be given as per **Annexure-IV**.
 - (ii) **Certificate from Chartered Engineer (Civil)** for technical civil works indicating item wise progress on approved components, cost, quantity, manufacturers/suppliers and comment on quality and status of implementation duly countersigned by the promoter as per **Annexure-V**.
 - (iii) **Certificate from Chartered Engineer (Mechanical)** for Plant and Machinery indicating item wise progress, cost, quantity, manufacturers/suppliers and comment on quality and status of implementation duly countersigned by the promoter as per **Annexure-VI**.
 - (iv) **Certificate** from the concerned bank/ financial institution which has sanctioned term loan for the project, as per **Annexure-VII**, certifying that:-

 - a. It has disbursed 100% of the term loan of the total sanctioned term loan and has also released 2nd installment of grant-in-aid; and
 - b. Has no objection for disbursement of 35% of the grant-in-aid being released by the Ministry.

Bank Statement(s) –Certified bank statements of all accounts from where payments are received and made till date of completion of 100% of the project cost as per implementation schedule and highlighting payments (including advance payments) made to suppliers/vendors/contractors.

- (v) **Utilization Certificate** of the 2nd Installment of the grant as per **Annexure-VIII**– In the format provided in GFR 19-A duly certified by the promoter and counter signed by Chartered Accountant.
- (vi) Utilization/Expenditure of 2nd installment of grant released in Excel sheet uploaded in EAT MIS Process.
- (vii) Screen shot of Utilization Certificate of 2nd installment generated through EAT Module of PFMS.
- (viii) Valid Consent to Operate from the Central/ State Pollution Control Board.
- (ix) Declaration of completion of the project and start of commercial operation, duly certified by the Bank.
- (x) Display of information prominently on the front of the project building/ vehicles stating that the “**Project is assisted by the Ministry of Food Processing Industries, Government of India**”.
- (xi) Statutory Clearance(s) / Approval(s) for power & water connections.
- (xii) License under Food Safety and Standards Act, 2006.
- (xiii) Registration/ license with Warehousing Development & Regulatory Authority (WDRA), if applicable.
- (xiv) Registration certificate of reefer vans and insulated vehicles.
- (xv) Any other condition which may be specified from time-to-time.

ROLE AND RESPONSIBILITIES OF PROGRAMME MANAGEMENT AGENCY(PMA)

[Refer Para 15]

- (i)** Scrutiny of the Proposals received against the EOI/advertisement and assists the Ministry in selection of eligible proposals.
- (ii)** Appraisal of the DPR/projects indicating financial viability, commercial sustainability and socio-economic impact and presentation of the appraisal to the Ministry for approval.
- (iii)** Assist the Ministry in issue of approval letters to the selected projects.
- (iv)** Assist/advise the Ministry in release of the grant to the approved projects. Scrutiny of the proposals for release of grant as per the scheme guidelines. Undertake site inspections to assess the actual physical progress and verify the claims/documents submitted by the applicant for release of grant and make recommendations to the Ministry. In addition to carrying out mandatory site inspections before release of 1st, 2nd and 3rd installment of grant, the PMA will also carry out additional site inspections as and when requested by the Ministry to ascertain the status of implementation of a particular project.
- (v)** Monitoring and reporting the progress of the cold chain projects to the Ministry periodically on the basis of defined milestones or as directed by the Ministry.
- (vi)** Updating the data regularly in the online dashboard monitoring system for monitoring the progress of the assisted cold chain projects. The portal will have to be kept updated at all times.
- (vii)** Development and implementation of suitable Operation & Management (O&M) plan for the assisted projects.
- (viii)** Assist MFPI in bench marking the cold chain/irradiation technology and developing technical standards/ protocols, and cost norms for setting up of cold chains/ irradiation infrastructure.
- (ix)** Provide suitable technical inputs on any aspect of the cold chain and related matter as and when required by the Ministry.
- (x)** Assist in formulating, analysis and evaluation of the scheme guidelines.
- (xi)** Assist the Ministry in getting the cold chain projects accredited to WDRA or any other regulatory agency.
- (xii)** Provide inputs for preparing reports, templates, forms and check lists related to the effective implementation of the scheme.
- (xiii)** Provide inputs for preparation of the agenda notes and minutes of the Technical Committee and Inter-Ministerial Approval Committee for the cold chain scheme.
- (xiv)** Assist the Ministry in analysis of nation wise, state wise and cluster wise requirements of the cold chain/cold storage facilities.
- (xv)** Checking the authenticity of the documents submitted by the applicants.
- (xvi)** Any other duty and responsibility assigned by the Ministry from time to time.

[ACCEPTANCE LETTER]

(To be submitted on the letter Head of the Company/firm within 30 days of issue of approval letter)

To

Under Secretary
Cold Chain Division
Ministry of Food Processing Industries
Panchsheel Bhawan, August Kranti Marg
New Delhi 110049
E-mail: coldchain.mofpi@gov.in

Subject : Proposal of M/s _____ for grant-in-aid for setting up of Integrated Cold Chain projects under the Scheme of Integrated Cold Chain and Value Addition Infrastructure at _____ (complete address of main location) -reg.

Sir,

With reference to the approval letter No. _____ dated _____ of the Ministry of Food Processing Industries, Government of India on the captioned subject I, _____ (Promoter Director/proprietor/Partner) of M/s _____ have carefully gone through the provisions of Scheme Guidelines dated 18.01.2019 for Integrated Cold Chain and Value Addition Infrastructure, the Undertaking furnished by me in **Appendix-V** of Scheme Guidelines along with the proposal and the terms and conditions mentioned in the aforementioned approval letter and hereby undertake to abide by the same.

2. I hereby also confirm the components/capacities as detailed in the Para 1 of the aforementioned Approval letter that would be created at the Farm Level Infrastructure/Distribution Hub/ Reefer Transport/ Insulated Transport/Mobile Pre-Cooler (Nos. and capacities). If the capacities/components mentioned in the approval letter differ with those mentioned in the proposal submitted to the Ministry against EOI dated _____, please specify along with justifications.

**Promoter Director/Proprietor/Partner
(Name & Signature)**

Note: This acceptance is to be signed only by the lead promoter and not by the authorized signatory.

Mandate Form
[Refer Para 9.1 (s) & Appendix-VII, Para 1. (c)]

**ELECTRONIC CLEARING SERVICE (CREDIT CLEARING) /REAL TIME GROSS
SETTLEMENT (RTGS) FACILITY FOR RECEIVING PAYMENTS**

DETAILS OF ACCOUNT HOLDER:

NAME OF ACCOUNT HOLDER	
COMPLETE CONTACT ADDRESS	
TELEPHONE NUMBER/FAX/EMAIL	

BANK ACCOUNT DETAILS: -

BANK NAME	
BRANCH NAME WITH COMPLETE ADDRESS TELEPHONE NUMBER AND EMAIL	
BRANCH'S IFSC CODE	
BANK ACCOUNT	Grant-in-Aid
COMPLETE BANK ACCOUNT NUMBER (LATEST)	
MICR CODE OF BANK	

DATE OF EFFECT: -

I hereby declare that the particulars given above are correct and complete. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information I would not hold the Ministry of Food Processing Industries responsible.

Date

Signature of Customer

Certified that the particulars furnished above are correct as per our records.

(Signature and Seal of Bank)

**[Refer Appendix-VII, Para (3) Sub Para (a) i]
SURETY BOND**

KNOW ALL MEN BY THESE PRESENTS that we, M/s _____, a _____(Type of organization)incorporated / registered under the _____(Name of the Act) and having its registered office at _____(hereinafter called the “Obligiers”) are held fully and firmly bound to the President of India (hereinafter called the “Government”) for the sum of ₹ _____(Rupees _____only) well and truly to be paid to the Government on demand and without a demur for which payment we firmly bind ourselves and our successors and assignees by these presents.

SIGNED on the _____day of _____in the year Two Thousand _____

WHEREAS on the Obligiers’ request, the Government as per Ministry of Food Processing Industries’ Sanction Order No._____ Dated _____(hereinafter referred to as the “Letter of Sanction”) which forms an integral part of these presents, and copy whereof is annexed hereto and marked as Annexure-I, agreed to make in favour of the Obligiers grants-in-aids of ₹ _____(Rupees _____Only) for the purpose of _____, (description of the project) at _____, out of which the sum of ₹ _____(Rupees _____only) have been paid to the Obligiers (the receipt of which the obligers do hereby admit and acknowledge) on condition of the Obligiers executing a bond in the terms and manner contained hereinafter which the obligers have agreed to do.

NOW the conditions of the above written obligation is such that if the Obligiers duly fulfill and comply with all the conditions mentioned in the letter of sanction, the above written Bond or obligation shall be void and of no effect. But otherwise, it shall remain in full force and virtue. The Obligiers will abide by the terms and conditions of the grants-in-aid by the target dates, if any specified therein.

THAT the Obligiers shall not divert the grants-in-aid and entrust execution of the Scheme or work concerned to another institution(s) or organization(s).

THAT the Obligiers shall abide by any other conditions specified in this agreement and in the event of their failing to comply with the conditions or committing breach of the bond, the Obligiers individually and jointly will be liable to refund to the President of India, the entire amount of the grants-in-aid with interest of 10% per annum thereon, if a part of the grants-in-aid in left unspent after the expiry of the period within which it is required to be spent, interest @10% per annum shall be charged up to the date of its refund to the Government, unless it is agreed to be carried over.

The obligers agree and undertake to surrender / pay the Government the monetary value of all such pecuniary or other benefits which it may receive or derive/ have received or derived through / upon unauthorized use of (such as letting out the premises on adequate or less than adequate consideration or use of the premises for any purpose other than that for which the grants-in-aid was intended of the property) buildings created/ acquired constructed largely from out of the grants-in-aid sanctioned by the Government of India, Ministry of Food Processing

Industries or the administrative Head of the Department concerned. As regards the monetary value aforementioned to be surrendered / paid to the Government, the decision of the Government will be final and binding on the Obligers.

AND THESE PRESENTS ALSO WITNESS THAT the decision of the Secretary to the Government of India in the Ministry of Food Processing Industries on the question whether there has been breach or violation of any of the terms or conditions mentioned in the sanction letter shall be final and binding upon the Obligers and

IN WITNESS WHEREOF these presents have been executed as under on behalf of the Obligers the day herein above written in pursuance of the Resolution No. _____ Dated _____ passed by the governing body of the Obligers, a copy of whereof is annexed hereto as Annexure-II and by _____ for and on behalf of the president on the date appearing below:-

Signature of AUTHORIZED SIGNATORY

SIGNED FOR AND ON BEHALF OF

(Name of the obliger in block letters)

(seal/ stamp) of Organization)

1. Signature of witness

2. Signature of witness

Name & Address

Name & Address

TO BE FILLED UP BY

THE MINISTRY OF FOOD PROCESSING INDUSTRIES (ACCEPTED)

For and on behalf of the President of India

Name: _____

Designation: _____

Dated: _____

Notary Seal &
Signature

**[Refer Appendix-VII, Para (3) Sub Para (a) iii, Sub Para (b) i & Sub Para (c) i]
CA Certificate Format
(Letter Head of the CA)**

Date:

CA certificate (With membership No. and firm registration No. of CA) in the following format:-

The certification is based on the verification of books of accounts, bills, invoices, work orders, bank statements, etc. related to the _____ (name of the project).

i. Project Cost: (₹ in lakh)

Sl. No.	Name of the Component/Item	Cost approved by the Ministry	Actual expenditure incurred as on -----		
			Basic	Taxes etc.	Total
1.	Land/development charges				
2.	Civil works — Technical civil works — Other civil works				
3.	Plant & Machinery				
4.	Misc. Fixed Assets				
5.	Others				
	TOTAL				

ii. Means of Finance: (₹ in lakh)

Sl. No.	Item	Means of finance approved by the Ministry	Actual expenditure incurred as on -----
1	Promoter's Equity		
2	Term Loan		
3	Grant from MFPI		
4	Unsecured loan*		
5	Others		

*Details of unsecured loans along with PAN No. of lenders, if any, duly certified by CA.

*Details of advance payment should be provided separately in Annexure

Signature and Seal of C.A (Statutory Auditor in case of company)

Counter signature of promoter/ authorized signatory of company with Seal

[Refer Appendix-VII, Para (3) Sub Para (a) iv, Sub Para (b) ii & Sub Para (c) ii]

**CE Certificate (Civil) Format for Technical Civil Work:
(Letter Head of the CE)**

CE certificate (With membership/registration No. of CE) in the following format:

Date:

Name of Project:

Location with address:

I, ___ (name of CE), visited the site on _____ (Date of site Visit) and verified the actual area and volume of work. Based on actual progress on the site and invoices for respective components, I certify that the civil work cost of the project components is in line with the prevailing unit rates of the civil cost depending on various specifications and requirements.

Project Progress: (If project has multiple locations, the location wise details should be submitted in below format for each location)

The total expenditure incurred on the project towards civil work is economical and its summary is enclosed hereby.

Sl. No.	Name of Component	Proposed/ appraised Area (sqm)	Proposed/ appraised Cost (₹ in lakh)	Actual Area(sqm)	Actual Cost (₹ in lakh)		Rate/ Unit (₹/Sqm)	Remarks about the status of implementation	Comments on quality, construction standards, market rates
					Basic Cost	Taxes, Freight, installation , insurance			
	Total								

It is certified that the material/ components used in the Technical Civil Work are new.

Signature and Seal of C.E.

Counter signature of promoter/ authorized signatory of company with Seal

[Refer Appendix-VII, Para (3) Sub Para (a) v, Sub Para (b) iii & Sub Para (c) iii]

**CE Certificate (Mechanical) Format for Plant & Machinery:
(Letter Head of the CE)**

CE Certificate (With membership/registration No. of CE) in the following format:-

Date:

Name of Project:

Location with address:

I, ___ (name of CE), visited the site on _____ (Date of site Visit) and verified the cost of various plant & machinery available at the project site and invoices for respective components as well. Based on this I certify that the plant & machinery brought to the site are new and are in line with prevailing cost in the industry.

Project Progress: (If project has multiple locations, the location wise details should be submitted in below format for each location)

The total expenditure incurred on the project towards plant & machinery is economical and its summary is enclosed hereby.

Sl. No.	Name of Component	Proposed/ appraised Quantity	Proposed/ appraised Cost (₹ in lakh)	Actual Quantity	Actual Cost (₹ in lakh)		Supplier/ Manufacturer	Status of implementation	Comments on quality, specifications, etc.
					Basic Cost	Taxes, Freight, installation, insurance			
	Component -1							Such as: •Ordered •Received at site •Installation in progress •Installed •Commissioned	
	Component -2								
	TOTAL								

Signature and Seal of C.E.

Counter signature of promoter/ authorized signatory of company with Seal

[Refer Appendix-VII, Para (3) Sub Para (a) vi, Sub Para (b) iv & Sub Para (c) iv]

(Letter Head of the Bank)

Certificate

Date:

1. Certified that this bank has appraised the project of M/s..... (Name and Address of the project) for grant as per scheme guidelines of the Ministry of Food Processing Industries and also sanctioned term Loan of ₹ lakh.
2. It is further certified that we have released ₹ lakh (---% of sanctioned term loan) and ₹..... lakh (1st/ 2nd Installment of grant-in-aid) to M/s (Name and Address of the project).
3. We have no objection in releasing 1st/ 2nd / 3rd (delete whichever not applicable) installment of grant.

Signature with seal
(Name of authorised signatory)
(Branch Name)

Counter Signature of promoter/ Authorized
Signatory of company with seal

Utilization Certificate
[Refer Appendix-VII, Para (3) Sub Para (b) vi & Sub Para (c) vi]

PROFORMA

S. No.	Letter No. & Date	Amount
1.		

Certified that out of ₹ _____ of grant-in-aid sanctioned during the year _____ in favour of _____ under this Ministry/ Department letter No. given in the margin and ₹ _____ on account of unspent balance of the previous year, a sum of ₹ _____ has been utilized for the purpose of _____ for which it was sanctioned, that the balance of ₹ _____ remaining un-utilized at the end of the year ____ has been surrendered to Government (vide No. ____ dated ____) / will be adjusted towards the grants-in-aid payable during the next year _____.

2. Certified that I have satisfied myself that conditions on which the grant-in-aid was sanctioned have been duly fulfilled/ are being fulfilled and that I have exercised the following checks to see that the money was actually utilized for the purpose for which it was sanctioned.

Kinds of checks exercised:

- 1.
- 2.
- 3.

Signature of Promoter/ Authorized signatory of Company with seal _____

Date_____

Counter signature of
Chartered Accountant
with CA Registration
No.